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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

R. IKEZAWA, et al.

Application No.:

10/552,441

Filed:

**OCTOBER 7, 2005** 

For:

ENCAPSULATING EPOXY RESIN MOLDING MATERIAL, AND

SEMICONDUCTOR DEVICE

Group AU:

2815

Examiner:

Scott E. Bauman

Confirm. No.:

7255

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97 AND 1.98

Mail Stop: AMEND – NO FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

June 20, 2007

Sir:

Pursuant to Applicants' duty of disclosure, enclosed please find respective copies of Official Actions each issued on March 20, 2007, in connection with counterpart Japanese patent applications (No. 2003-103346, No. 2003-103357 and No. 2003/103443). Also enclosed is a copy of Japanese Patent Document No. 11-288979, cited in each of the enclosed Official Actions (note citation thereof beneath the listing of documents in each of the enclosed Official Actions). Further enclosed is a Form PTO/SB/08A, listing the enclosed documents.

Note that in addition to the aforementioned No. 11-288979, the following listed Japanese patent documents are also cited in the enclosed Official Actions:

- (1) No. 2003-082243;
- (2) No. 2001-151866;
- (3) No. 11-323090;
- (4) No. 63-245947;

Docket No. 1204.45467X00 Serial No. 10/552,441 June 20, 2007

- (5) No. 10-114853;
- (6) No. 2002-348442;
- (7) No. 07-273251; and
- (8) No. 05-175262.

Each of the documents listed in the foregoing (1)-(8) has previously been submitted in connection with the above-identified application, with the Information Disclosure Statement submitted October 7, 2005, upon originally filing the above-identified application, or in the Information Disclosure Statement submitted December 19, 2006.

This Information Disclosure Statement is being submitted subsequent to a first Office Action on the merits in the above-identified application, in an application in which a Final Office Action has not been issued, nor has a Notice of Allowance or any other action closing prosecution on the merits been issued. For satisfying requirements of 37 CFR 1.97(c), the following Statement is made under 37 CFR 1.97(e):

Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

To the extent that the enclosed documents are not in English, it is respectfully submitted that requirements of 37 CFR 1.98(a)(3) are satisfied at least in connection with cited Japanese patent document No. 11-288979, by the English language abstract submitted therewith.

In view of the foregoing, it is respectfully submitted that all applicable requirements of 37 CFR 1.97 and 1.98 have been satisfied at least in connection

Docket No. 1204.45467X00 Serial No. 10/552,441 June 20, 2007

with the enclosed document cited in the enclosed Official Actions. Consideration of the enclosed documents, upon examination of the above-identified application, is respectfully requested.

Please charge any shortage of fees due in connection with the filing of this paper to the Deposit Account of Antonelli, Terry, Stout & Kraus, LLP, Deposit Account No. 01-2135 (case No. 1204.45467X00), or credit any excess fees paid to such Deposit Account.

Respectfully submitted,

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計 ing Date 10/07/2005			
June 20, 2007 JUN , First Named Inventor R. IKEZAWA			
Art Unit 2815			
(use as many sheets as necessary Examiner Name Scott E. Bauman			
Sheet 1 of Attorney Docket Number 1204.45467X00			

U.S. PATENT DOCUMENTS					
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials'	No.¹	Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
		US-			

		FOREIC	SN PATENT DOC	UMENTS		
		Foreign Patent Document			Pages, Columns, Lines, Where Relevant	
Examiner Initials'	Cite No. <sup>1</sup>	Country Code <sup>3</sup> –Number <sup>4</sup> –Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Passages or Relevant Figures Appear	Τ <sup>6</sup>
		JP 11-288979	10/19/1999	T. Shiobara, et al.		Α
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		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the tem (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	
		Official Action, for Japanese Patent Application No. 2003-103346, mailed March 20, 2007.	
		Official Action, for Japanese Patent Application No. 2003-103357, mailed March 20, 2007.	
		Official Action, for Japanese Patent Application No. 2003-103443, mailed March 20, 2007.	

Examiner	Date	
Signature	Consid	dered

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.